

11/26/01



PTO SB/05 (11-001)

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Approved for use through 10/31/2002 OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|                        |   |
|------------------------|---|
| Attorney Docket No.    | 12362   |
| First Inventor         | De La Concha Estrada, Ernesto                                     |
| Title                  | ECOLOGICAL MIXTURE FOR CONSTRUCTION AND METHOD FOR WASTE DISPOSAL |
| Express Mail Label No. | EV 034972288 US   |

11/26/01  
PTO  
09/994285**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee price stamp)*
2. ☒ Applicant claims small entity status  
See 37 CFR 1.27
3. ☒ Specification [Total Pages 14]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets       ]
5. Oath or Declaration [Total Pages       ]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application. See 37 CFR 1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies), or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney  
*(when there is an assignee)*
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15. ☐ Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)

Prior application information

Examiner POE, MGroup Art Unit 1732

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or

☒

Correspondence address below

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Name (Print/Type)

Andrew D. Babcock

Registration No. (Attorney/Agent)

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Signature

Date

November 26, 2001

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F-112 (Orum &amp; Roth)

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# PATENT APPLICATION FEE DETERMINATION RECORD

Approved for use through 10/31/2002 OMB 0651-0032  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  
Application or Docket Number

12362

## CLAIMS AS FILED - PART I

| FOR  | NUMBER FILED<br>(Column 1) | NUMBER EXTRA<br>(Column 2) |
|--|----------------------------|----------------------------|
| BASIC FEE<br>(37 CFR 1.16(a))                        |                            |                            |
| TOTAL CLAIMS<br>(37 CFR 1.16(c))                     | 13 minus 20 =              | 0                          |
| INDEPENDENT CLAIMS<br>(37 CFR 1.16(b))               | 3 minus 3 =                | 0                          |
| MULTIPLE DEPENDENT CLAIM PRESENT<br>(37 CFR 1.16(d)) |                            | 0                          |

\* If the difference in column 1 is less than zero, enter "0" in column 2

SMALL ENTITY

OR OTHER THAN SMALL ENTITY

| RATE     | FEE    |
|----------|--------|
|          | \$ 355 |
| x \$ 9 = | 0      |
| x 40 =   | 0      |
| + 135 =  | 0      |
| TOTAL    | \$355  |

| RATE   | FEE |
|--------|-----|
|        | \$  |
| x \$ = |     |
| x =    |     |
| + =    |     |
| TOTAL  |     |

## CLAIMS AS AMENDED - PART II

(Column 1) (Column 2) (Column 3)

| AMENDMENT A   | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
|---|----------------------------------|------------------------------------|---------------|
| Total<br>(37 CFR 1.16(c))                                       | *                                | Minus                              | =             |
| Independent<br>(37 CFR 1.16(b))                                 | *                                | Minus                              | =             |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) |                                  |                                    |               |

SMALL ENTITY

OR OTHER THAN SMALL ENTITY

| RATE   | ADDITIONAL FEE |
|--------|----------------|
| x \$ = |                |
| x =    |                |
| + =    |                |
| TOTAL  |                |

| RATE   | ADDITIONAL FEE |
|--------|----------------|
| x \$ = |                |
| x =    |                |
| + =    |                |
| TOTAL  |                |

(Column 1) (Column 2) (Column 3)

| AMENDMENT B   | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
|---|----------------------------------|------------------------------------|---------------|
| Total<br>(37 CFR 1.16(c))                                       | *                                | Minus                              | =             |
| Independent<br>(37 CFR 1.16(b))                                 | *                                | Minus                              | =             |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) |                                  |                                    |               |

SMALL ENTITY

OR OTHER THAN SMALL ENTITY

| RATE   | ADDITIONAL FEE |
|--------|----------------|
| x \$ = |                |
| x =    |                |
| + =    |                |
| TOTAL  |                |

| RATE   | ADDITIONAL FEE |
|--------|----------------|
| x \$ = |                |
| x =    |                |
| + =    |                |
| TOTAL  |                |

(Column 1) (Column 2) (Column 3)

| AMENDMENT C   | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
|---|----------------------------------|------------------------------------|---------------|
| Total<br>(37 CFR 1.16(c))                                       | *                                | Minus                              | =             |
| Independent<br>(37 CFR 1.16(b))                                 | *                                | Minus                              | =             |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) |                                  |                                    |               |

SMALL ENTITY

OR OTHER THAN SMALL ENTITY

| RATE   | ADDITIONAL FEE |
|--------|----------------|
| x \$ = |                |
| x =    |                |
| + =    |                |
| TOTAL  |                |

| RATE   | ADDITIONAL FEE |
|--------|----------------|
| x \$ = |                |
| x =    |                |
| + =    |                |
| TOTAL  |                |

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20"

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3"

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1

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### Certification under 37 CFR 1.10

"Express Mail"

I hereby certify that the application/correspondence attached hereto is being deposited with the United States Postal Service "Express mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231

EV 034972288 US

Express Mail mailing number

November 26, 2001

Date of Deposit

*Jennifer M. Schoen*

Signature of person mailing correspondence

Jennifer M. Schoen

Typed or printed name of person mailing correspondence

De La Concha Estrada, Ernesto  
Serial Number: To Be Assigned

Page 2 of 2

**CERTIFICATE OF MAILING**

*I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail  
in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on  
November 21, 2001.*

*Jennifer M. Schoen*  
26 189

Jennifer M. Schoen

F154(Orum & Roth)  
213.12362